

COMP 5660/6660 - Evolutionary Computing - Class Slides

Daniel Tauritz, PhD

Auburn University

September 2, 2026

Computational Problem Solving

- Step 1: build abstract/computational model of the real-world

¹<https://quoteinvestigator.com/2011/05/13/einstein-simple/>

Computational Problem Solving

- Step 1: build abstract/computational model of the real-world
- Step 2: solve computationally in abstract model

Computational Problem Solving

- Step 1: build abstract/computational model of the real-world
- Step 2: solve computationally in abstract model
- “Everything Should Be Made as Simple as Possible, But Not Simpler”¹
- Step 3: map solution back to real-world

¹<https://quoteinvestigator.com/2011/05/13/einstein-simple/>

Computational Problem Classes

- Decision problems (e.g., SAT)

Computational Problem Classes

- Decision problems (e.g., SAT)
- Optimization problems (e.g., TSP)

Computational Problem Classes

- Decision problems (e.g., SAT)
- Optimization problems (e.g., TSP)
- Modeling (aka system identification) problems (e.g., ML)

Computational Problem Classes

- Decision problems (e.g., SAT)
- Optimization problems (e.g., TSP)
- Modeling (aka system identification) problems (e.g., ML)
- Simulation problems

Computational Problem Classes

- Decision problems (e.g., SAT)
- Optimization problems (e.g., TSP)
- Modeling (aka system identification) problems (e.g., ML)
- Simulation problems
- Many computational problems can be formulated as **generate-and-test** search problems

Computational Problem Classes

- Decision problems (e.g., SAT)
- Optimization problems (e.g., TSP)
- Modeling (aka system identification) problems (e.g., ML)
- Simulation problems
- Many computational problems can be formulated as **generate-and-test** search problems
- A **search space** contains the set of all possible solutions

Computational Problem Classes

- Decision problems (e.g., SAT)
- Optimization problems (e.g., TSP)
- Modeling (aka system identification) problems (e.g., ML)
- Simulation problems
- Many computational problems can be formulated as **generate-and-test** search problems
- A **search space** contains the set of all possible solutions
- A **search space generator** is *complete* if it can generate the entire search space

Computational Problem Classes

- Decision problems (e.g., SAT)
- Optimization problems (e.g., TSP)
- Modeling (aka system identification) problems (e.g., ML)
- Simulation problems
- Many computational problems can be formulated as **generate-and-test** search problems
- A **search space** contains the set of all possible solutions
- A **search space generator** is *complete* if it can generate the entire search space
- An **objective function** tests the quality of a solution

Computational Problem Classes

- Decision problems (e.g., SAT)
- Optimization problems (e.g., TSP)
- Modeling (aka system identification) problems (e.g., ML)
- Simulation problems
- Many computational problems can be formulated as **generate-and-test** search problems
- A **search space** contains the set of all possible solutions
- A **search space generator** is *complete* if it can generate the entire search space
- An **objective function** tests the quality of a solution
- Graduated solution quality

Computational Problem Classes

- Decision problems (e.g., SAT)
- Optimization problems (e.g., TSP)
- Modeling (aka system identification) problems (e.g., ML)
- Simulation problems
- Many computational problems can be formulated as **generate-and-test** search problems
- A **search space** contains the set of all possible solutions
- A **search space generator** is *complete* if it can generate the entire search space
- An **objective function** tests the quality of a solution
- Graded solution quality
- Constraint satisfaction problems (e.g., 8-queens)

Computational Problem Classes

- Decision problems (e.g., SAT)
- Optimization problems (e.g., TSP)
- Modeling (aka system identification) problems (e.g., ML)
- Simulation problems
- Many computational problems can be formulated as **generate-and-test** search problems
- A **search space** contains the set of all possible solutions
- A **search space generator** is *complete* if it can generate the entire search space
- An **objective function** tests the quality of a solution
- Graded solution quality
- Constraint satisfaction problems (e.g., 8-queens)

Search Terminology

	Objective function	
Constraints	Yes	No
Yes	Constrained Optimization Problem (COP)	Constraint Satisfaction Problem (CSP)
No	Free Optimization Problem (FOP)	No problem

Search Terminology

	Objective function	
Constraints	Yes	No
Yes	Constrained Optimization Problem (COP)	Constraint Satisfaction Problem (CSP)
No	Free Optimization Problem (FOP)	No problem

- Mad Engineer Thought Experiment

Search Terminology

	Objective function	
Constraints	Yes	No
Yes	Constrained Optimization Problem (COP)	Constraint Satisfaction Problem (CSP)
No	Free Optimization Problem (FOP)	No problem

- Mad Engineer Thought Experiment
- Stochastic search of adaptive solution landscape

Search Terminology

	Objective function	
Constraints	Yes	No
Yes	Constrained Optimization Problem (COP)	Constraint Satisfaction Problem (CSP)
No	Free Optimization Problem (FOP)	No problem

- Mad Engineer Thought Experiment
- Stochastic search of adaptive solution landscape
- Local versus global optima

Search Terminology

	Objective function	
Constraints	Yes	No
Yes	Constrained Optimization Problem (COP)	Constraint Satisfaction Problem (CSP)
No	Free Optimization Problem (FOP)	No problem

- Mad Engineer Thought Experiment
- Stochastic search of adaptive solution landscape
- Local versus global optima
- Unimodal versus multimodal problems

Algorithmic Toolbox

- A **heuristic** is a problem-dependent rule-of-thumb

Algorithmic Toolbox

- A **heuristic** is a problem-dependent rule-of-thumb
- A **meta-heuristic** is a general heuristic to determine the sampling order over a search space with the goal to find a near-optimal solution (or set of solutions)

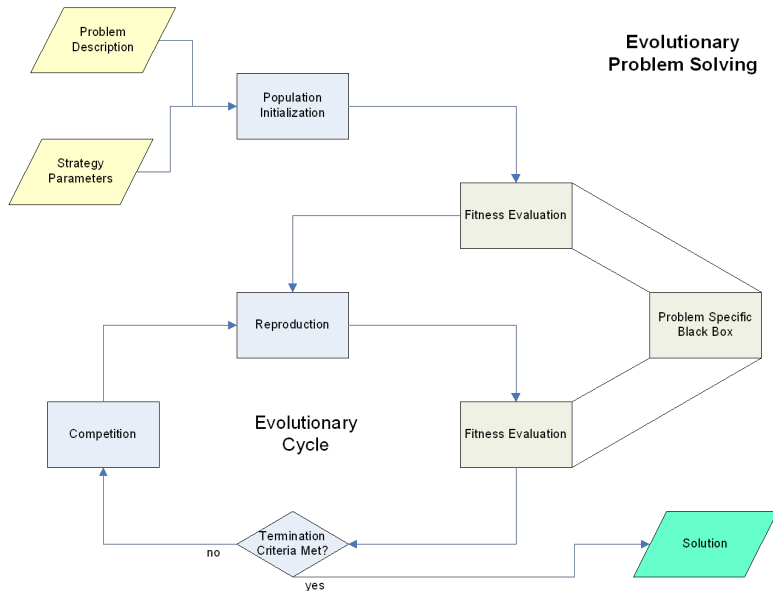
Algorithmic Toolbox

- A **heuristic** is a problem-dependent rule-of-thumb
- A **meta-heuristic** is a general heuristic to determine the sampling order over a search space with the goal to find a near-optimal solution (or set of solutions)
- A **hyper-heuristic** is a meta-heuristic for a space of programs
- A **Black-Box Search Algorithm (BBSA)** is a meta-heuristic which iteratively generates trial solutions employing solely the information gained from previous trial solutions, but no explicit problem knowledge

Algorithmic Toolbox

- A **heuristic** is a problem-dependent rule-of-thumb
- A **meta-heuristic** is a general heuristic to determine the sampling order over a search space with the goal to find a near-optimal solution (or set of solutions)
- A **hyper-heuristic** is a meta-heuristic for a space of programs
- A **Black-Box Search Algorithm (BBSA)** is a meta-heuristic which iteratively generates trial solutions employing solely the information gained from previous trial solutions, but no explicit problem knowledge
- **Evolutionary Algorithms (EAs)** can be described as a class of *stochastic, population-based* BBSAs inspired by *Evolution Theory*, *Genetics*, and *Population Dynamics*

Evolutionary Cycle



- More general purpose than traditional optimization algorithms (less problem specific knowledge required)

- More general purpose than traditional optimization algorithms (less problem specific knowledge required)
- Ability to solve “difficult” problems

- More general purpose than traditional optimization algorithms (less problem specific knowledge required)
- Ability to solve “difficult” problems
- Solution availability during computation
- Robustness
- Inherent parallelism

- Fitness function and genetic operators often not obvious

- Fitness function and genetic operators often not obvious
- Premature convergence

EA Cons

- Fitness function and genetic operators often not obvious
- Premature convergence
- Computationally intensive

- Fitness function and genetic operators often not obvious
- Premature convergence
- Computationally intensive
- Difficult parameter optimization

Biological Metaphors - Darwinian Evolution

- Macroscopic view of evolution

Biological Metaphors - Darwinian Evolution

- Macroscopic view of evolution
- Natural selection

Biological Metaphors - Darwinian Evolution

- Macroscopic view of evolution
- Natural selection
- Survival of the fittest

Biological Metaphors - Darwinian Evolution

- Macroscopic view of evolution
- Natural selection
- Survival of the fittest
- Random variation

Biological Metaphors - Darwinian Evolution

- Macroscopic view of evolution
- Natural selection
- Survival of the fittest
- Random variation
- Genetic drift

Biological Metaphors - Mendelian Genetics

- Microscopic view of evolution

Biological Metaphors - Mendelian Genetics

- Microscopic view of evolution
- Genotype - functional unit of inheritance

Biological Metaphors - Mendelian Genetics

- Microscopic view of evolution
- Genotype - functional unit of inheritance
- Phenotype - expression of genotype

Biological Metaphors - Mendelian Genetics

- Microscopic view of evolution
- Genotype - functional unit of inheritance
- Phenotype - expression of genotype
- Pleiotropy - one gene affects multiple phenotypic traits

Biological Metaphors - Mendelian Genetics

- Microscopic view of evolution
- Genotype - functional unit of inheritance
- Phenotype - expression of genotype
- Pleiotropy - one gene affects multiple phenotypic traits
- Polygeny - one phenotypic trait is affected by multiple genes

Biological Metaphors - Mendelian Genetics

- Microscopic view of evolution
- Genotype - functional unit of inheritance
- Phenotype - expression of genotype
- Pleiotropy - one gene affects multiple phenotypic traits
- Polygeny - one phenotypic trait is affected by multiple genes
- Chromosomes - haploid versus diploid

Biological Metaphors - Mendelian Genetics

- Microscopic view of evolution
- Genotype - functional unit of inheritance
- Phenotype - expression of genotype
- Pleiotropy - one gene affects multiple phenotypic traits
- Polygeny - one phenotypic trait is affected by multiple genes
- Chromosomes - haploid versus diploid
- Locus/Loci - gene location/locations on the genome/chromosome

Biological Metaphors - Mendelian Genetics

- Microscopic view of evolution
- Genotype - functional unit of inheritance
- Phenotype - expression of genotype
- Pleiotropy - one gene affects multiple phenotypic traits
- Polygeny - one phenotypic trait is affected by multiple genes
- Chromosomes - haploid versus diploid
- Locus/Loci - gene location/locations on the genome/chromosome
- Alleles - variant forms of a gene

Nature versus digital realm

- Environment - Problem search space

Nature versus digital realm

- Environment - Problem search space
- Environmental fitness - Fitness Function

Nature versus digital realm

- Environment - Problem search space
- Environmental fitness - Fitness Function
- Population - Set

Nature versus digital realm

- Environment - Problem search space
- Environmental fitness - Fitness Function
- Population - Set
- Individual - Datastructure

Nature versus digital realm

- Environment - Problem search space
- Environmental fitness - Fitness Function
- Population - Set
- Individual - Datastructure
- Genes - Elements

Nature versus digital realm

- Environment - Problem search space
- Environmental fitness - Fitness Function
- Population - Set
- Individual - Datastructure
- Genes - Elements
- Alleles - Datatype

Natural versus artificial evolution

	Natural evolution	Artificial evolution

Natural versus artificial evolution

	Natural evolution	Artificial evolution
Fitness	Observed quantity: a posteriori effect of selection	Predefined: a priori quantity that drives selection

Natural versus artificial evolution

	Natural evolution	Artificial evolution
Fitness	Observed quantity: a posteriori effect of selection	Predefined: a priori quantity that drives selection
Selection	Complex multifactor	Randomised operator typically tied to fitness

Natural versus artificial evolution

	Natural evolution	Artificial evolution
Fitness	Observed quantity: a posteriori effect of selection	Predefined: a priori quantity that drives selection
Selection	Complex multifactor	Randomised operator typically tied to fitness
Genotype-phenotype mapping	Highly complex biochemical process	Math transformations

Natural versus artificial evolution

	Natural evolution	Artificial evolution
Fitness	Observed quantity: a posteriori effect of selection	Predefined: a priori quantity that drives selection
Selection	Complex multifactor	Randomised operator typically tied to fitness
Genotype-phenotype mapping	Highly complex biochemical process	Math transformations
Variation	Asexual or sexual reproduction	Offspring can be generated from any number of adults

Natural versus artificial evolution

	Natural evolution	Artificial evolution
Fitness	Observed quantity: a posteriori effect of selection	Predefined: a priori quantity that drives selection
Selection	Complex multifactor	Randomised operator typically tied to fitness
Genotype-phenotype mapping	Highly complex biochemical process	Math transformations
Variation	Asexual or sexual reproduction	Offspring can be generated from any number of adults
Execution	Decentralized	Centralized

Natural versus artificial evolution

	Natural evolution	Artificial evolution
Fitness	Observed quantity: a posteriori effect of selection	Predefined: a priori quantity that drives selection
Selection	Complex multifactor	Randomised operator typically tied to fitness
Genotype-phenotype mapping	Highly complex biochemical process	Math transformations
Variation	Asexual or sexual reproduction	Offspring can be generated from any number of adults
Execution	Decentralized	Centralized
Population	Spatial embedding and dynamic size	Typically unstructured and constant size

Assignment Series 1 Genotype-Phenotype Mapping

- The genotype is a fixed-length linear representation, with $\text{len}(\text{shapes})$ genes.

Assignment Series 1 Genotype-Phenotype Mapping

- The genotype is a fixed-length linear representation, with $\text{len}(\text{shapes})$ genes.
- Each gene is a 3-tuple (x, y, r) specifying the location & rotation of a specific shape.

Assignment Series 1 Genotype-Phenotype Mapping

- The genotype is a fixed-length linear representation, with $\text{len}(\text{shapes})$ genes.
- Each gene is a 3-tuple (x, y, r) specifying the location & rotation of a specific shape.
- Hence an allele is a tuple of three values, with x and y within the bounds of the stock, and r within the range 0 to 3.

Assignment Series 1 Genotype-Phenotype Mapping

- The genotype is a fixed-length linear representation, with $\text{len}(\text{shapes})$ genes.
- Each gene is a 3-tuple (x, y, r) specifying the location & rotation of a specific shape.
- Hence an allele is a tuple of three values, with x and y within the bounds of the stock, and r within the range 0 to 3.
- So a single integer value of x , y , or r is NOT a full allele in our interpretation.

Assignment Series 1 Genotype-Phenotype Mapping

- The genotype is a fixed-length linear representation, with $\text{len}(\text{shapes})$ genes.
- Each gene is a 3-tuple (x, y, r) specifying the location & rotation of a specific shape.
- Hence an allele is a tuple of three values, with x and y within the bounds of the stock, and r within the range 0 to 3.
- So a single integer value of x , y , or r is NOT a full allele in our interpretation.
- Some possible phenotypes are:
 - ① a matrix indicating for each cell which shapes overlap it

Assignment Series 1 Genotype-Phenotype Mapping

- The genotype is a fixed-length linear representation, with $\text{len}(\text{shapes})$ genes.
- Each gene is a 3-tuple (x, y, r) specifying the location & rotation of a specific shape.
- Hence an allele is a tuple of three values, with x and y within the bounds of the stock, and r within the range 0 to 3.
- So a single integer value of x , y , or r is NOT a full allele in our interpretation.
- Some possible phenotypes are:
 - 1 a matrix indicating for each cell which shapes overlap it
 - 2 a matrix indicating for each cell how many shapes overlap it

Assignment Series 1 Genotype-Phenotype Mapping

- The genotype is a fixed-length linear representation, with $\text{len}(\text{shapes})$ genes.
- Each gene is a 3-tuple (x, y, r) specifying the location & rotation of a specific shape.
- Hence an allele is a tuple of three values, with x and y within the bounds of the stock, and r within the range 0 to 3.
- So a single integer value of x , y , or r is NOT a full allele in our interpretation.
- Some possible phenotypes are:
 - 1 a matrix indicating for each cell which shapes overlap it
 - 2 a matrix indicating for each cell how many shapes overlap it
 - 3 a matrix indicating for each cell whether it's not overlapped, overlapped by one shape, or overlapped by multiple shapes

Meta-Heuristic Performance Measures

- One-off problems vs repetitive problems

Meta-Heuristic Performance Measures

- One-off problems vs repetitive problems
- Success rate (SR)

Meta-Heuristic Performance Measures

- One-off problems vs repetitive problems
- Success rate (SR)
- Mean Best Fitness (MBF)

Meta-Heuristic Performance Measures

- One-off problems vs repetitive problems
- Success rate (SR)
- Mean Best Fitness (MBF)
- Average number of evaluations to a solution (AES)

Statistical Analysis of Stochastic Algorithms

- Welch's t -test is an independent-samples t -test not assuming equal variances

Statistical Analysis of Stochastic Algorithms

- Welch's t -test is an independent-samples t -test not assuming equal variances
- t -test assumes sample means normally distributed

Statistical Analysis of Stochastic Algorithms

- Welch's t -test is an independent-samples t -test not assuming equal variances
- t -test assumes sample means normally distributed
- means approximate normal distribution due to central limit theorem

Statistical Analysis of Stochastic Algorithms

- Welch's t -test is an independent-samples t -test not assuming equal variances
- t -test assumes sample means normally distributed
- means approximate normal distribution due to central limit theorem
- $t = \frac{\bar{X}_1 - \bar{X}_2}{\sqrt{\frac{s_1^2}{n_1} + \frac{s_2^2}{n_2}}}$

Statistical Analysis of Stochastic Algorithms

- Welch's t -test is an independent-samples t -test not assuming equal variances
- t -test assumes sample means normally distributed
- means approximate normal distribution due to central limit theorem
- $t = \frac{\bar{X}_1 - \bar{X}_2}{\sqrt{\frac{s_1^2}{n_1} + \frac{s_2^2}{n_2}}}$
- EC Class Guide to Statistical Hypothesis Tests

Statistical Analysis of Stochastic Algorithms

- Welch's t -test is an independent-samples t -test not assuming equal variances
- t -test assumes sample means normally distributed
- means approximate normal distribution due to central limit theorem
- $t = \frac{\bar{X}_1 - \bar{X}_2}{\sqrt{\frac{s_1^2}{n_1} + \frac{s_2^2}{n_2}}}$
- EC Class Guide to Statistical Hypothesis Tests
- Excel statistical hypothesis tests demo

Genospace versus phenospace

- Genotype space

Genospace versus phenospace

- Genotype space
- Phenotype space

Genospace versus phenospace

- Genotype space
- Phenotype space
- Encoding & Decoding

Genospace versus phenospace

- Genotype space
- Phenotype space
- Encoding & Decoding
- Eight/N-Queens Problem

Genospace versus phenospace

- Genotype space
- Phenotype space
- Encoding & Decoding
- Eight/N-Queens Problem
 - ▶ Define objective function $q(p)$, fitness function $f(p)$

Genospace versus phenospace

- Genotype space
- Phenotype space
- Encoding & Decoding
- Eight/N-Queens Problem
 - ▶ Define objective function $q(p)$, fitness function $f(p)$
 - ▶ Matrix representation

Genospace versus phenospace

- Genotype space
- Phenotype space
- Encoding & Decoding
- Eight/N-Queens Problem
 - ▶ Define objective function $q(p)$, fitness function $f(p)$
 - ▶ Matrix representation
 - ▶ Vector of permutations representation

Genospace versus phenospace

- Genotype space
- Phenotype space
- Encoding & Decoding
- Eight/N-Queens Problem
 - ▶ Define objective function $q(p)$, fitness function $f(p)$
 - ▶ Matrix representation
 - ▶ Vector of permutations representation
 - ▶ Swap mutation, cut-and-crossfill crossover

Genospace-phenospace mapping

Let F be the decoder function from G (genospace) to P (phenospace) and x^* be the global optimum.

Genospace-phenospace mapping

Let F be the decoder function from G (genospace) to P (phenospace) and x^* be the global optimum.

- $F : G \rightarrow P$ is *surjective* if $\forall p \in P \exists g \in G : F(g) = p$

Genospace-phenospace mapping

Let F be the decoder function from G (genospace) to P (phenospace) and x^* be the global optimum.

- $F : G \rightarrow P$ is *surjective* if $\forall p \in P \exists g \in G : F(g) = p$
- $F : G \rightarrow P$ is *injective* if $\forall g_1, g_2 \in G (F(g_1) = F(g_2)) \Rightarrow (g_1 = g_2)$

Genospace-phenospace mapping

Let F be the decoder function from G (genospace) to P (phenospace) and x^* be the global optimum.

- $F : G \rightarrow P$ is *surjective* if $\forall p \in P \exists g \in G : F(g) = p$
- $F : G \rightarrow P$ is *injective* if $\forall g_1, g_2 \in G (F(g_1) = F(g_2)) \Rightarrow (g_1 = g_2)$
- $F : G \rightarrow P$ is *bijective* if F is surjective and injective

Genospace-phenospace mapping

Let F be the decoder function from G (genospace) to P (phenospace) and x^* be the global optimum.

- $F : G \rightarrow P$ is *surjective* if $\forall p \in P \exists g \in G : F(g) = p$
- $F : G \rightarrow P$ is *injective* if $\forall g_1, g_2 \in G (F(g_1) = F(g_2)) \Rightarrow (g_1 = g_2)$
- $F : G \rightarrow P$ is *bijective* if F is surjective and injective
- If F is not surjective and $x^* \notin F(G)$, then the EA cannot find the global optimum. Therefore one should think twice before choosing a non-surjective decoder function if one cannot guarantee that the global optimum is still reachable.

Genospace-phenospace mapping

Let F be the decoder function from G (genospace) to P (phenospace) and x^* be the global optimum.

- $F : G \rightarrow P$ is *surjective* if $\forall p \in P \exists g \in G : F(g) = p$
- $F : G \rightarrow P$ is *injective* if $\forall g_1, g_2 \in G (F(g_1) = F(g_2)) \Rightarrow (g_1 = g_2)$
- $F : G \rightarrow P$ is *bijective* if F is surjective and injective
- If F is not surjective and $x^* \notin F(G)$, then the EA cannot find the global optimum. Therefore one should think twice before choosing a non-surjective decoder function if one cannot guarantee that the global optimum is still reachable.
- F does not need to be injective, but realize there is less to search if F is injective so there should be sufficient compensation, such as limiting $F(G)$ to valid solutions in a constraint satisfaction problem.

The 0-1 Knapsack Problem

Given a set of n items with values v_i and cost c_i , select a subset that maximises value while not exceeding the capacity limit C_{max} .

The 0-1 Knapsack Problem

Given a set of n items with values v_i and cost c_i , select a subset that maximises value while not exceeding the capacity limit C_{max} . We consider two cases:

① $fitness(p) = \sum_{i=1}^n (v_i \cdot g_i)$

The 0-1 Knapsack Problem

Given a set of n items with values v_i and cost c_i , select a subset that maximises value while not exceeding the capacity limit C_{max} . We consider two cases:

- 1 $fitness(p) = \sum_{i=1}^n (v_i \cdot g_i)$
- 2 Modify $fitness(p)$ to exclude items that would exceed C_{max}

Problem solving steps

- Collect problem knowledge

Problem solving steps

- Collect problem knowledge
- Formulate as a generate-test search problem

Problem solving steps

- Collect problem knowledge
- Formulate as a generate-test search problem
- Go/no-go decision on use of EC

Problem solving steps

- Collect problem knowledge
- Formulate as a generate-test search problem
- Go/no-go decision on use of EC
- Choose/design gene representation

Problem solving steps

- Collect problem knowledge
- Formulate as a generate-test search problem
- Go/no-go decision on use of EC
- Choose/design gene representation
- Design fitness function

Problem solving steps

- Collect problem knowledge
- Formulate as a generate-test search problem
- Go/no-go decision on use of EC
- Choose/design gene representation
- Design fitness function
- Creation of initial population

Problem solving steps

- Collect problem knowledge
- Formulate as a generate-test search problem
- Go/no-go decision on use of EC
- Choose/design gene representation
- Design fitness function
- Creation of initial population
- Parent selection

Problem solving steps

- Collect problem knowledge
- Formulate as a generate-test search problem
- Go/no-go decision on use of EC
- Choose/design gene representation
- Design fitness function
- Creation of initial population
- Parent selection
- Decide on genetic operators

Problem solving steps

- Collect problem knowledge
- Formulate as a generate-test search problem
- Go/no-go decision on use of EC
- Choose/design gene representation
- Design fitness function
- Creation of initial population
- Parent selection
- Decide on genetic operators
- Competition / survival

Problem solving steps

- Collect problem knowledge
- Formulate as a generate-test search problem
- Go/no-go decision on use of EC
- Choose/design gene representation
- Design fitness function
- Creation of initial population
- Parent selection
- Decide on genetic operators
- Competition / survival
- Choose termination condition

Problem solving steps

- Collect problem knowledge
- Formulate as a generate-test search problem
- Go/no-go decision on use of EC
- Choose/design gene representation
- Design fitness function
- Creation of initial population
- Parent selection
- Decide on genetic operators
- Competition / survival
- Choose termination condition
- Find good parameter values

Standard representations

- Bit Strings

Standard representations

- Bit Strings
 - ▶ Scaling Hamming Cliffs

Standard representations

- Bit Strings
 - ▶ Scaling Hamming Cliffs
 - ▶ Binary vs Gray coding

Standard representations

- Bit Strings
 - ▶ Scaling Hamming Cliffs
 - ▶ Binary vs Gray coding
- Integers

Standard representations

- Bit Strings
 - ▶ Scaling Hamming Cliffs
 - ▶ Binary vs Gray coding
- Integers
 - ▶ Ordinal vs. cardinal attributes

Standard representations

- Bit Strings
 - ▶ Scaling Hamming Cliffs
 - ▶ Binary vs Gray coding
- Integers
 - ▶ Ordinal vs. cardinal attributes
 - ▶ Permutations - absolute order vs adjacency

Standard representations

- Bit Strings
 - ▶ Scaling Hamming Cliffs
 - ▶ Binary vs Gray coding
- Integers
 - ▶ Ordinal vs. cardinal attributes
 - ▶ Permutations - absolute order vs adjacency
- Real-Valued

Standard representations

- Bit Strings
 - ▶ Scaling Hamming Cliffs
 - ▶ Binary vs Gray coding
- Integers
 - ▶ Ordinal vs. cardinal attributes
 - ▶ Permutations - absolute order vs adjacency
- Real-Valued
- Linear vs. non-linear

Standard representations

- Bit Strings
 - ▶ Scaling Hamming Cliffs
 - ▶ Binary vs Gray coding
- Integers
 - ▶ Ordinal vs. cardinal attributes
 - ▶ Permutations - absolute order vs adjacency
- Real-Valued
- Linear vs. non-linear
- Homogeneous vs. heterogeneous

Permutation Representations

- Order based (e.g., job shop scheduling)

Permutation Representations

- Order based (e.g., job shop scheduling)
- Adjacency based (e.g., TSP)

Permutation Representations

- Order based (e.g., job shop scheduling)
- Adjacency based (e.g., TSP)
- Encodings
 - ▶ Event space: [A,B,C,D]

Permutation Representations

- Order based (e.g., job shop scheduling)
- Adjacency based (e.g., TSP)
- Encodings
 - ▶ Event space: [A,B,C,D]
 - ▶ Permutation: [3,1,2,4]

Permutation Representations

- Order based (e.g., job shop scheduling)
- Adjacency based (e.g., TSP)
- Encodings
 - ▶ Event space: [A,B,C,D]
 - ▶ Permutation: [3,1,2,4]
 - ▶ Mapping 1: [C,A,B,D]

Permutation Representations

- Order based (e.g., job shop scheduling)
- Adjacency based (e.g., TSP)
- Encodings
 - ▶ Event space: [A,B,C,D]
 - ▶ Permutation: [3,1,2,4]
 - ▶ Mapping 1: [C,A,B,D] $\rightarrow$ allele in locus i indicates event in that place in the sequence

Permutation Representations

- Order based (e.g., job shop scheduling)
- Adjacency based (e.g., TSP)
- Encodings
 - ▶ Event space: [A,B,C,D]
 - ▶ Permutation: [3,1,2,4]
 - ▶ Mapping 1: [C,A,B,D] $\rightarrow$ allele in locus i indicates event in that place in the sequence
 - ▶ Mapping 2: [B,C,A,D]

Permutation Representations

- Order based (e.g., job shop scheduling)
- Adjacency based (e.g., TSP)
- Encodings
 - ▶ Event space: [A,B,C,D]
 - ▶ Permutation: [3,1,2,4]
 - ▶ Mapping 1: [C,A,B,D] $\rightarrow$ allele in locus i indicates event in that place in the sequence
 - ▶ Mapping 2: [B,C,A,D] $\rightarrow$ allele in locus i indicates when the i^{th} event takes place

Canonical Variation Operators

- Mutation: stochastic unary variation operator

Canonical Variation Operators

- Mutation: stochastic unary variation operator– applied with probability P_m

Canonical Variation Operators

- Mutation: stochastic unary variation operator– applied with probability P_m
- Crossover: stochastic binary variation operator

Canonical Variation Operators

- Mutation: stochastic unary variation operator– applied with probability P_m
- Crossover: stochastic binary variation operator– applied with probability P_c

Canonical Variation Operators

- Mutation: stochastic unary variation operator– applied with probability P_m
- Crossover: stochastic binary variation operator– applied with probability P_c
- Recombination: stochastic multi-ary variation operator

Canonical Bit-string Variation Operators

- Mutation
 - ▶ Bit-flip

Canonical Bit-string Variation Operators

- Mutation

- ▶ Bit-flip
- ▶ $E[\#flips] = L \cdot p_m$

- Crossover

- ▶ Choose a random point on the two parents
- ▶ Split parents at this crossover point
- ▶ Create children by exchanging tails
- ▶ P_c typically in range 60-90%, but 100% is quite typical too

Integer mutation

- Random Reset (cardinal attributes)

Integer mutation

- Random Reset (cardinal attributes)
- Creep Mutation (ordinal attributes)

Floating-point mutation

- Uniform

Floating-point mutation

- Uniform
- Non-uniform (e.g., Gaussian, Cauchy, Levy)